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# Search algorithms for plann

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#### **Search**

Setting:

- Agent
- Goal
- Problem Formulation
	- A Set of Actions
	- A Set of States

What we want to do?



*Find a set of actions that achieve the goal* 

*when no single action will do* 

### Setting: • Agent • Goal • Problem Formulation • A Complex Set of Actions • Preconditions • Effects • A Complex Set of States • Propositional Statements What we want to do? **Planning**

*Take advantage of the structure of a problem to construct complex plans of actions*

#### **Search algorithms for Planning**

- Search and Planning often addresses similar problems and there is no clear distinction between them.
- On one hand, planning deals with more complex problems w.r.t. how actions are described, states, goals and when is difficult to provide a proper problem formulation.
- As an example, if the conditions can change planning methods are more suited to *adapt* the plan.
- On the other hand, search algorithms are often used where a it is easier to describe the problem in a "mathematical" way.
- Overall, search and planning are deeply connected and overlapped, and planning often requires some form of search and problem solving algorithms.
- Path-planning is one of those problem.

#### **Discrete Search Problems: 8-Puzzle**





- States: location of each digits in the eight tiles + blank one
- Initial State
- Goal State
- Actions: Left, Right, Up, Down
- Transition: given a state and an action, the resulting board

#### **Discrete Search Problems: 8-Puzzle**





- States: location of each digits in the eight tiles + blank one
- Initial State
- Goal State
- Actions: Left, Right, Up, Down
- Transition: given a state and an action, the resulting board
- Goal Test: if the states are equal to the goal state
- Cost: each movement costs 1, the lowest number of tile move the lowest the cost



Expanding the current state by applying a legal action generating a new set of states, then…

…following up one option and putting aside others in case the first choice does not lead to a solution

#### **State-based problem formulation**

- State space defined as a set of **nodes**, each node represents a state; we assume a finite state space (and discrete)
- For each state, we have set of actions that can be undertaken by the agent from that state
- Transition model: given a starting state and an action, indicates an arrival state; we assume no uncertainties, i.e., deterministic transitions and full observability
- Action costs: any transition has a cost, which we assume to be greater than a positive constant (reasonable assumption, useful for deriving some properties of the algorithms we discuss)
- Initial state
- Goal State



*Compact representation: state transition graph G=(V,E) (We will use "state" and "node" as interchangeable terms)*

#### **Formally describing the desired solution**

- In the problem formulation we need to formally describe the features of the solution we seek
- Two (three) classes of problems:

sequence of actions (path)

from the initial state to a

goal state



Set of goal states, find the sequence of actions (path) from the initial state to a goal state that has the minimum cost

#### **Problem example**

Consider a agent moving on a graph-represented environment:

- **States**: nodes of the graph, they represent physical locations
- **Edges**: represent connections between nearby locations or, equivalently, movement actions
- **Initial state**: some starting location for the agent

Desired solution:

• **Goal state(s)**: some location(s) to reach, … Find a path to the initial location to a goal one

#### **Example: going home from the CS department with METRO**



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#### **Problem example**

Consider a mobile robot moving on a grid environment:

- **States**: cells in the map, they represent physical locations
- **Edges**: represent connections between nearby locations or, equivalently, movement actions
- **Initial state**: some starting location for the robot Desired solution:
- **Goal state(s)**: some location(s) to reach
- Find a path to the initial location to a goal one

#### **Problem Example**



## **Problem Example**



#### **A solution**



#### **And here? Changing a few tiles, different solution**



#### **One problem, many representations**



#### **One problem, many representations**



What type of representation?

- With which granularity?
- Shall I represent other nearby stations (Loreto, Udine?)
- Shall I represent also the bus stops?
- Trams?
- Main central stations?
- All Milan city map?
- Shall I represent all crossings and traffic lights?
- How about directions inside the campus?
- How about directions inside the building?

#### **One problem, many representations**



What type of representation?

- Grid map?
- How big the grid?
- Which distance?
	- Euclidean
	- Manhattan
	- ?

;

- Shall I represent all crossings and traffic lights?
- How about directions inside the campus? (different grid size?)
- How about directions inside the building? (smaller?)

#### **Problem specification**

- How to **specify** a planning problem?
- First approach: provide the full state transition graph G (as in the previous example)
- Most of the times this is not an affordable option due to the combinatorial nature of the state space:



- **Chess board:** approx.  $10^{47}$  states
- We can specify the initial state and the transition function in some compact form (e.g., set of rules to generate next states)
- The planning problem "unfolds" as search progresses
- We need an efficient procedure for *goal checking*

#### **General features of search algorithms**

A search algorithm explores the state-transition graph G until it discovers the desired solution

- feasibility: when a goal node is visited the path that led to that node is returned
- optimality: when a goal node is visited, if any other possible path to that node has higher cost the path that led to that node is returned

Given a state and the path followed to get there, the next node to explore is chosen using a *state strategy*

It does not suffice to visit a goal node, the algorithm has to reconstruct the path it followed to get there: it must keep a trace of its search

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<sup>&</sup>quot;HIS PATH-PLANNING MAY BE SUB-OPTIMAL, BUT IT'S GOT FLAIR."

Such a trace can be mapped to a subgraph of G, it is called *search graph*

#### **how to evaluate a (search) algorithm?**

- We can evaluate a search algorithm along different dimensions
	- Completeness: If there is a solution, is the algorithm guaranteed to find it?
		- Systematic: If the state space is finite, will the algorithm visit all reachable state (so finding a solution if a solution exists?)
	- Optimality: does the strategy find an optimal solution?
	- Space complexity: How much memory is needed to find a solution?
	- Time complexity? How long does it takes?

*(The above criteria can actually be used to evaluate a broader class of algorithms)*

#### **Soundness**

• Optimality: *does the returned solution lead to a goal with minimum cost?* Maybe we are not always looking for the optimal solution…

…for some problems, we may look for other features

Soundness: If the algorithm returns a solution, is it compliant with the desired features specified in the problem formulation?

- Example:
	- Feasibility: *does the returned solution lead to a goal?*
	- Optimality: *does the returned solution lead to a goal with minimum cost?*

*(We may need other features from the algorithm e.g., approximation)*

#### **Completeness and the systematic property**

- If a solution exists, does the algorithm find it?
- Typically shown by proving that the search will/will not visit all states if given enough time  $\rightarrow$  systematic
- If the state-space is finite, ensuring that no redundant exploration occurs is sufficient to make the search systematic.
- If the state space is infinite, we can ask if the search is systematic:
	- If there is a solution, the search algorithm must report it in finite time
	- if the answer is no solution, it's ok if it does not terminate but ...
	- … all reachable states must be visited in the limit: as time goes to infinity, all states are visited – all reachable vertex is explored - (this definition is sound under the assumption of countable state space)

#### **Visual example**



#### **Visual example**



• Searching along **multiple** trajectories (either concurrently or not), eventually covers all the reachable space

#### **Visual example**



• Searching along a **single** trajectory, eventually gets stuck in a dead end (or find a solution if we are lucky)

#### **Space and time complexity**

- Space complexity: how does the amount of memory required by the search algorithm grows as a function of the problem's dimension (worst case)?
- Time complexity: how does the time required by the search algorithm grows as a function of the problem's dimension (worst case)?
- Asymptotic trend:
	- We measure complexity with a function  $f(n)$  of the input size
	- For analysis purposes, the "Big O" notation is convenient:

A function  $f(n)$  is  $O(g(n))$  if  $\exists k > 0, n_0$  such that  $f(n) \leq kg(n)$  for  $n > n_0$ 

- An algorithm that is  $O(n^2)$  is better than one that is  $O(n^5)$
- If  $g(n)$  is an exponential, the algorithm is not efficient





#### **Running example**

• To present the various search algorithms, we will use this *problem instance* as our running example



• It might be useful to think it as a map, but keep in mind that this interpretation does not hold for every instance

#### **Search algorithm definition**

• The different search algorithms are substantially characterized by the answer they provide to the following question:



where to search next? (search strategy)

• The answer is encoded in a set of rules that drives the search and define its type, let's start with the simplest one





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- A Depth-First Search (DFS) chooses the deepest node in the search tree (How to break ties? For now lexicographic order)
- A dead end stopped the search, DFS seems not complete. Can we fix this?
- Let's endow our DFS with **backtracking**: a way to reconsider previously evaluated decisions



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#### Solution: (A->B->D->F->G->E)

## **Depth-First Search (DFS) and Loops**



- DFS with loops non systematic / complete
- We are **avoiding loops** on the same branch (loops are redundant paths)



- DFS with loops removal and BT is sound and complete (for finite spaces)
- Call  $b$  the maximum branching factor, i.e., the maximum number of actions available in a state
- Call  $d$  the maximum depth of a solution, i.e., the maximum number of actions in a path
- Space complexity:  $O(d)$
- Time complexity:  $1 + b + b^2 + \ldots + b^d = O(b^d)$





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Solution: (A->F->G->E)



- A Breadth-First Search (BFS) chooses the shallowest node, thus exploring in a level by level fashion
- It has a more conservative behavior and does not need to reconsider decisions
- Call q the depth of the shallowest solution (in general  $q \leq d$ )
- Space complexity:  $O(b^q)$
- Time complexity:  $O(b^q)$

## **Redundant paths**

- Both DFS and BFS visited some nodes multiple times (avoiding loops prevents this to happen only within the same branch)
- In general, this does not seem very efficient. Why?



• Idea: discard a newly generated node if already present somewhere on the tree, we can do this with an **enqueued list**





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• Node F ha already been "enqueued" on the tree, by discarding it we *prune* a branch of the tree





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# **BFS with Enqueued List**



# **BFS with Enqueued List**



### **Implementation**

- The implementation of the previous algorithms is based on two data structures:
	- A queue **F** (Frontier), elements ordered by priority, a selection consumes the element with highest priority
	- A list **EL** (Enqueued List, nodes that have already been put on the tree)
- The frontier F contains the terminal nodes of all the paths currently under exploration on the tree



- The frontier **separates** the explored part of the state space from the unexplored part
- In order to reach a state that we still did not searched, we need to pass from the frontier (separation property)

#### **Implementation**



### **Depth-limited Search**



- Variant of DFS, trying to solve issues in "deep" or infinite state space
- Idea: limit the max number of depth search to a level  $l$
- Nodes at level  $l$  are treated as if they have no successor
- Call q the depth of the shallowest solution, how do we set  $l$ ?
- What if we choose  $l > d$ ? Non-optimal
- Time complexity:  $O(b^l)$
- Space complexity:  $O(bl)$

### **Iterative-deepening DFS**



- Variant of DFS and similar to depth-limited search
- Idea: limit the max number of depth search to a level  $l$ , increasing  $l$
- Nodes at level  $l$  are treated as if they have no successor
- We start with  $l = 0$ , if no solution is found increase  $l = l + 1$  until a solution is found
- Complete in finite spaces
- Space complexity:  $O(b^q)$
- Time complexity:  $O(bq)$

#### **Search for the optimal solution**

- Now we assume to be interested in the solution with minimum cost (not just any path to the goal, but the cheapest possible)
- To devise an optimal search algorithm we take the moves from BFS. Why it seems reasonable to do that?
- We generalize the idea of BFS to that of Uniform Cost Search (UCS)
- BFS proceeds by *depth* levels, UCS does that by *cost* levels (as a consequence, if costs are all equal to some constant BFS and UCS coincide)
- Cost accumulated on a path from the start node to v:  $g(v)$  (we should include a dependency on the path, but it will always be clear from the context)
- For now let's remove the enqueued list and the goal checking as we know it





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- Have we found the optimal path to the goal? In this problem instance, we can answer *yes* by inspecting the graph
- How about larger instances? Can we prove optimality?
- Actually, we can prove a stronger claim: every time UCS selects **for the first time** a node for expansion, the associated path leading to that node has minimum cost

# **Optimality of UCS**

Hypotheses:

- 1. UCS selects from the frontier a node V that has been generated through a path p
- 2. p is not the optimal path to V

Given 2 and the frontier separation property, we know that there must exist a node X on the frontier, generated through a path  $p'_1$  that is on the optimal path  $p' \neq p$  to V; let assume  $p' = p'_1 + p'_2$ 



 $x \cdot c(p_1) < c(p)$  X would have been chosen before V, then 1 is false  $s(c(p')) = c(p_1') + c(p_2') < c(p)$  since, from Hp, p' is optimal  $s(c(p'_1) < c(p'_1) + c(p'_2) < c(p)$  since costs are positive

# **Optimality of UCS**

If when we select for the first time we discover the optimal path, there is no reason to select the same node a second time: **extended list**

Every time we select a node for extension:

- If the node is already in the extended list we discard it
- Otherwise we extend it and we put it the extended list
- (Warning: we are not using an enqueued list, it would actually make the search not sound!)





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• Thanks to the extended list we can prune two branches
#### **Implementation**



the node is selected (not when is generated)

• Question: is this search informed?

# **Summing up**

 $b$  branching factor,  $q$  depth of the shallowest solution,  $m$  maximum depth of search tree,  $l$  depth limit



#### **Informed vs non-informed search**

- Besides its own rules, any search algorithm decides where to search next by leveraging some knowledge
- **Non-informed** search uses only knowledge specified at problem-definition time (e.g., goal and start nodes, edge costs), just like we saw in the previous examples
- An **informed** search might go beyond such knowledge
- Idea: using an estimate of how far a given node is from the goal
- Such an estimate is often called a **heuristic**

Estimate of the cost of the optimal path from node v to the goal:  $h(v)$ 

### **Informed vs non-informed search**

- We can enrich DFS and BFS to obtain their an informed versions
- Both search methods break ties in lexicographical order, but it seems reasonable to do that in favor of nodes that are believed to be closer to the goal
- **Hill climbing**
	- A DFS where ties are broken in favor the node with smallest h
- **Beam** (of width w)
	- A BFS where at each level we keep the first w nodes in increasing order of h

- The informed version of UCS is called  $A^*$
- Very popular search algorithm
- It was born in the early days of mobile robotics when, in 1968, Nilsson, Hart, and Raphael had to face a practical problem with Shakey (one of the ancestors of today's mobile robots)





The idea behind A\* is simple: perform a UCS, but instead of considering accumulated costs consider the following:

Heuristic

\n
$$
("cost-to-go")
$$
\n
$$
\downarrow
$$
\n
$$
f(n) = g(n) + h(n)
$$
\nCost accumulated on the path to n

\n
$$
("cost-to-cone")
$$

• To guarantee that the search is sound and complete we need to require that the heuristic is **admissible**: it is an optimistic estimate or, more formally:

 $h(n) \leq$  Cost of the minimum path from n to the goal

• If the heuristic is not admissible we might discard a path that could actually turn out to be better that the best candidate found so far































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- Problem: if we work with an extended list, admissibility is not enough!
- Let's consider this "pathological" instance:





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- Problem: if we work with an extended list, admissibility is not enough!
- Let's consider this "pathological" instance:



- We need to require a stronger property: **consistency**
- For any connected nodes u and v:  $h(v) \le c(v, u) + h(u)$

• It's a sort of triangle inequality, let's reconsider our pathological instance:



v

 $c(v,u)$ 

u

 $h(v)$ 

goal

 $h(u)$ 

## **Optimality of A\***

$$
f(v) = g(v) + h(v)
$$
  

$$
f(u) = g(u) + h(u) = g(v) + c(v, u) + h(u) \ge g(v) + h(v)
$$
  
consistency

 $f(u) \ge f(v) \longrightarrow f$  is non-decreasing along any search trajectory

Hypotheses:

- 1. A\* selects from the frontier a node G that has been generated through a path p
- 2. p is not the optimal path to G

Given 2 and the frontier separation property, we know that there must exist a node X on the frontier that is on a better path to G

f is non-decreasing:  $f(G) \ge f(X)$ 

A\* selected G:  $f(G) < f(X)$ 



*When A\* selects a node for expansion, it discovers the optimal path to that node*

## **Building good heuristics**

- The "larger heuristics are better" principle is not a methodology to define a good heuristic
- Such a task, seems to be rather complex: heuristics deeply leverage the inner structure of a problem and have to satisfy a number of constraints (admissibility, consistency, efficiency) whose guarantee is not straightforward
- When we adopted the straight-line distance in our route finding examples, we were sure it was a good heuristic
- Would it be possible to generalize what we did with the straight-line distance to define a method to *compute* heuristics for a problem?
- Good news: the answer is yes

## **Evaluating heuristics**

• How to evaluate if an heuristic is good?



- A\* will expand all nodes v such that:  $f(v) < g^*(goal) \longrightarrow h(v) < g^*(goal) g(v)$
- If, for any node v  $h_1(v) \leq h_2(v)$

then A\* with h<sub>2</sub> will not expand more nodes than A\* with h<sub>1</sub>, in general h<sub>2</sub> is better (provided that is consistent and can be computed by an efficient algorithm)

• If we have two consistent heuristics  $h_1$  and  $h_2$  we can define  $h_3(v) = \max\{h_2(v), h_1(v)\}\$ 

## **Relaxed problems**

• Given a problem P, a relaxation of P is an easier version of P where some constraints have been dropped



• In our route finding problems removing the constraint that movements should be over roads (links) means that some costs pass from an infinite value to a finite one (the straight-line distance)

## **Relaxed problems**

• Idea:

Apply A\* to every node and get Define a relaxation of P: Set  $h(v) = h^*(v)$  in the original problem and run A\*

- We can easily define a problem relaxation, it's just matter of removing constraints/rewriting costs
- But what happens to soundness and completeness of A\*?

 $\hat{h}^*(v) \leq \hat{g}(v, u) + \hat{h}^*(u)$  Path costs are optimal

 $h(v) \leq \hat{g}(v, u) + h(u)$  From our idea

 $\hat{g}(v, u) \leq g(v, u)$ 

From the definition of relaxation

 $h(v) \leq g(v, u) + h(u)$ 

**h is consistent**

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